Appendices: The Defining Characteristics of Urban Living Labs (Steen & van Bueren, 2017)

Steen, K., & van Bueren, E. 2017. The Defining Characteristics of Urban Living Labs. *Technology Innovation Management Review*, 7(7): 21–33. <u>http://timreview.ca/article/1088</u>

Appendix A. Overview of definitions of living labs in the TIM Review

Referencing Publications (Chronological order)	Sources	Definition	Aspects Addressed
Leminen, Westerlund, & Nyström (2012): Living Labs as Open-Innovation Networks	Westerlund & Leminen, 2011	Living labs are "physical regions or virtual realities in which stakeholders form public-private-people partnerships (4Ps) of firms, public agencies, universities, institutes, and users all collaborating for creation, prototyping, validating, and testing of new technologies, services, products, and systems in real-life contexts"	 Physical regions or virtual realities Public-private-people partnerships Collaborating Creation, prototyping, validating, testing New technologies, services, products and systems Real-life context
Almirall, Lee, & Wareham (2012): Mapping Living Labs in the Landscape of Innovation Methodologies	Almirall & Wareham, 2008	Living Labs are "small organizations that aim to capture users' insights, prototype and validate solutions in real life contexts, aim to contribute to both problems providing structure and governance to the user involvement and methodologies and organizations to filter and sense user insights"	 Small organisations Aim to capture users insights Prototype & validate Real life contexts Providing a structure and governance to the user involvement Providing methodologies and organisations to filter and sense user insights
Katzy (2012): Designing Viable Business Models for Living Labs	Almirall & Wareham, 2008	Living labs are "intermediaries for collaborative innovation"	IntermediariesCollaborativeinnovation

Schaffers & Turkama (2012): Living Labs for Cross-Border Systemic Innovation	Own definition based on: • Schaffers et al, 2010 • Budweg et al, 2011	Living labs are "constituting a setting for collaborative innovation by offering a collaborative platform for research, development, and experimentation with product and service innovations in real- life contexts, based on specific methodologies and tools, and implemented through concrete innovation projects and community-building activities".	 Collaborative platform Research, development, experimentation Product and service innovations Real life contexts Specific methodologies and tools Implementation in concrete innovation projects Community building activities
Schuurman & De Marez (2012): Structuring User Involvement in Panel-Based Living Labs	Almirall & Wareham, 2008	Living labs are "innovation arenas or "innovation intermediaries" because they build a <i>multi-stakeholder</i> ecosystem where users are subjected to a combination of research methodologies while they test new technologies that are still in development with the focus on accessing the ideas and knowledge of the users regarding the tested technology"	 Innovation intermediaries Multi-stakeholder Ecosystem Research, development, testing New technologies Focus on accessing the ideas and knowledge of the users
Mulder (2012): Living Labbing the Rotterdam Way: Co- Creation as an Enabler for Urban Innovation	Own definition	"The living lab approach is a research methodology for sensing, prototyping, validating, and refining complex solutions in multiple and evolving real-life contexts."	 Research methodology Sensing, prototyping, validating & refining Complex solutions Multiple and evolving real-life contexts
Niitamo, Westerlund, & Leminen (2012): A Small-Firm Perspective on the Benefits of Living Labs	Kusiak, 2007	"The living labs approach: where technology is developed and tested in a physical or virtual real-life context, and users are important informants and co-creators"	 Development & testing Technology Physical or virtual real-life context Users as informants Users as co-creators
Ståhlbröst (2013): A Living Lab as a Service: Creating Value for Micro-enterprises through Collaboration and Innovation	/	"Among living lab researchers, it is common to view living labs as a specific research approach that supports user involvement and innovation processes carried out in real-world contexts (e.g., Bergvall-Kåreborn and Ståhlbröst, 2009; Leminen and Westerlund, 2012). A quattro-helix approach is applied, which involves four different types of stakeholders in innovation processes: researchers, companies, users, and public organizations. Thus, a living lab is an environment that has a defined approach to support its actions."	 Research approach User involvement Innovation processes Real-world contexts Researchers, companies, users & public organisations (4-helix) Environment with a defined approach

	Ståhlbröst 2012	"The living lab approach is based on five key principles, which guide the operations of the living lab: value, sustainability, influence, realism, and openness"	 Value Sustainability Influence Realism openness
Schuurman, De Marez, & Ballon (2013): <u>Open</u> Innovation Processes in Living Lab Innovation Systems: Insights from the LeYLab	Schuurman, Baccarne, Kawsar, Seys, Veeckman, De Marez & Ballon, 2013	"the living lab infrastructure as a whole forms the centre of the living lab, with five general living lab characteristics depending on this infrastructure: • Natural setting • Multi-method • Medium- to long-term • User-centric • Multi-stakehodler"	 Natural setting Multi-method Medium- to long-term User-centric Multi-stakehodler"
Juujärvi & Pesso (2013): Actor Roles in an Urban Living Lab: What Can We Learn from Suurpelto, Finland?	Westerlund & Leminen, 2011	See previous	• See previous
Femenías & Hagbert (2013): The Habitation Lab: Using a Design Approach to Foster Innovation for Sustainable Living	Case: Habitation lab	Drawing on the collaborative and user-centred principles of recent definitions of living labs (e.g., Bergvall-Kåreborn et al., 2009; McPhee et al., 2012; Leminen & Westerlund, 2012), the Habitation Lab is an innovation platform that emphasizes co- creation and learning between end users, partners in the building industry and related areas, academia (e.g., researchers and students from architecture and other disciplines), and, by extension, governmental bodies (e.g., planning officials and policy makers).	 Collaborative principle User-centered principle Innovation platform Co-creation Learning End-users, private partners, academia and governmental bodies
Leminen (2013): Coordination and Participation in Living Lab Networks	Westerlund & Leminen, 2011	See previous	• See previous
Veeckman, Schuurman,	Westerlund & Leminen, 2011	See previous	• See previous

Leminen, & Westerlund (2013): Linking Living Lab Characteristics and Their Outcomes: Towards a Conceptual Framework	Own formulation of building blocks	 The building blocks of a living lab environment are: Technical infrastructure Ecosystems approach Openness User participation Lifespan Scale Real-world context 	 Technical infrastructure Ecosystems approach Openness User participation Lifespan Scale Real-world context
Hakkarainen & Hyysalo (2013): How Do We Keep the Living Laboratory Alive? Learning and Conflicts in Living Lab Collaboration	/	"A living lab turns users from observed subjects to active co- creators of value, ideas, and innovative concepts – it is not only a testbed (McPhee et al., 2012). It gives an opportunity to embed complex product ideas and prototypes within an environment that closely resembles the context of the product in real-life (Pierson and Lievens, 2005)."	 Users as active co-creators Values, innovative concepts, complex product ideas, prototypes Environment that closely resembles the context of the product in real-life
Veeckman & Van der Graaf (2015): The City as Living Laboratory: Empowering Citizens with the Citadel Toolkit	Westerlund & Leminen, 2011	See previous	• See previous
Tukiainen, Leminen, & Westerlund (2015): Cities as Collaborative Innovation Platforms	Westerlund & Leminen, 2011	See previous	• See previous
Franz, Tausz, & Thiel (2015): Contextuality and Co-Creation Matter: A Qualitative Case Study Comparison of Living Lab Concepts in Urban Research	/	"Although projects and approaches to urban living labs differ widely, the benefits lie in user integration and the use of results to develop need-based products and services that can be implemented into the living environments of citizens."	 User integration Need-based products and services Can be implemented into the living environments of citizens

Bergvall-Kåreborn, Eriksson, & Ståhlbröst (2015): Places and Spaces within Living Labs	Bergvall-Kåreborn, 2009	"A living lab is a user-centric innovation milieu built on every- day practice and research, with an approach that facilitates user influence in open and distributed innovation processes engaging all relevant partners in real-life contexts, aiming to create sustainable values".	 User-centric Built on every-day practice and research Approach that facilitates user influence Open and distributed innovation processes Engaging all relevant partners Real-life contexts Aiming to create sustainable values
Leminen, Turunen, & Westerlund (2015): The Grey Areas Between Open and Closed in Innovation Networks	Westerlund & Leminen, 2011	See previous	• See previous
Rits, Schuurman & Ballon (2015): Exploring the Benefits of Integrating Business Model Research within Living Lab Projects	iMinds Living Labs	"The definition of a "living lab" is still an unresolved and largely semantic discussion (Baccarne et al., 2013). However, most definitions focus on: i) the collaboration between different stakeholders – including end users – during the innovation process and ii) combining technological research with user research."We share our experiences using a practical framework to implement combined research tracks at iMinds Living Labs".	 Collaboration between different stakeholders (including end-users) Combining technological research with user research
Ståhlbröst & Lassinantti (2015): Leveraging Living Lab Innovation Processes through Crowdsourcing	Bergvall-Kåreborn, 2009	See previous	• See previous
Hakkarainen & Hyysalo (2016): The Evolution of Intermediary Activities: Broadening the Concept of Facilitation in Living Labs	Own definition based on multiple authors	"Living labs are real-life experimentation environments in which new products and services are given shape through collaborative efforts of users and developers. They aim to extend co-design and open innovation activities from mere concept design and ideation to design-in-use, which is often requisite for co-realizing the true value points of new technologies and services (Botero & Hyysalo, 2013; Hartswood et al., 2002; Hillgren et al., 2011; Hyysalo, 2010; Leminen et al., 2015; Voss et al., 2009)".	 Real-life experimentation environments New products and services Collaborative efforts of users and developers Aim to extend co-design and open innovation activities to design-in-use

Georges, Schuurman, & Vervoort (2016): Factors Affecting the Attrition of Test Users During Living Lab Field Trial	European Commission, 2009 + Schuurman, 2015	"A living lab environment is defined as "a user-driven open innovation ecosystem based on a business-citizens- government partnership which enables users to take an active part in the research, development and innovation process" (European Commission, 2009). In addition to this active user involvement, a multi-method approach and real-life interventions make up the three central characteristics of the living lab approach (Schuurman, 2015)."	 User-driven Open innovation Ecosystem Business-citizens-government partnerships Research, development and innovation processes Active user involvement Multi-method approach Real-life interventions
Schuurman & Vervoort (2016): The Impact of Living Lab Methodology on Open Innovation Contributions and Outcomes	Westerlund & Leminen, 2011	See previous	• See previous
Juujärvi & Lund (2016): Enhancing Early Innovation in an Urban Living Lab: Lessons from Espoo, Finland	Friedrich et al, 2013 Almirall & Wareham, 2008 Franz et al, 2016	"An urban living lab has been defined as a forum for innovation that integrates residents and other stakeholders to develop and test new ideas, systems, and solutions in complex and real contexts (see Friedlich et al., 2013)". "Referring to Almirall and Wareham (2008), it can be seen as a specific type of open innovation network that acts as an intermediary between residents, public organizations, and private organizations to capture and codify user insights in their living environments." "In this article, we focus on socially oriented urban living labs, which are characterized by citizen participation, strong collaboration with local stakeholders, and the aim to create concepts and methodology that can be transferred into other contexts (see Franz et al., 2015)"	 Forum for innovation Integrating residents and other stakeholders Development and testing New ideas, systems and solutions Complex and real contexts
Buhr, Federley, & Karlsson (2016): Urban Living Labs for Sustainability in Suburbs in Need of Modernization and Social Uplift	/	"There is no generally accepted definition of living labs (Leminen, 2015; Westerlund & Leminen, 2014), but they are frequently described as consisting of elements of co-creation, exploration, experimentation, and evaluation (e.g., ENoLL, 2015). Rather than repeating previous reviews of various definitions, we explain below how two key ingredients of urban living labs – citizens and innovation – were operationalized in the context of this research".	 Co-creation Exploration Experimentation Evaluation Citizens Innovation

Schuurman & Tonurist (2017): Innovation in the Public Sector: Exploring the Characteristics and Potential of Living Labs and Innovation Labs	European Commission, 2009 Westerlund & Leminen, 2011 Schuurman, 2015	"Living labs refer to user-centered, open innovation ecosystems based on a systematic user co-creation approach integrating research and innovation processes in real-life communities and settings (Ballon & Schuurman, 2015). Leminen (2013) defines living labs as: "physical regions or virtual realities, or interaction spaces, in which stakeholders form public–private–people partnerships (4Ps) of companies, public agencies, universities, users, and other stakeholders, all collaborating for creation, prototyping, validating, and testing of new technologies, services, products, and systems in real- life contexts. This definition is complemented by Schuurman (2015), who sees living labs as an organized approach (as opposed to an ad hoc approach) to innovation consisting of real-life experimentation and active user involvement by means of different methods involving multiple stakeholders, as is implied in the public–private–people (PPP) character of living labs".	 User-centered Open innovation Ecosystems Systematic user co-creation approach Integrating research and innovation processes Real-life communities and settings Physical regions, virtual realities or interaction spaces Public-private-people partnerships Collaboration Creation, prototyping, validating, testing New technologies, services, products and systems Real-life contexts Organised approach Experimentation Active user-involvement by means of different methods
Leminen & Westerlund (2017): Categorization of Innovation Tools in Living Labs	Westerlund & Leminen, 2011	See previous	See previous
Coorevits & Jacobs (2017): Taking Real-Life Seriously: An Approach to Decomposing Context Beyond "Environment" in Living Labs	/	/	/
Coenen & Robijt (2017): Heading for a FALL: A Framework for Agile Living Lab Projects	/	/	/
Brankaert & Den Ouden (2017): The Design-Driven Living Lab: A New Approach to Exploring Solutions to Complex Societal Challenges	/	/	/

Appendix B. Defining characteristics of (urban) living labs mentioned in living lab literature

Publications	Aims	Activities	Aimed at Products	Participants	Contexts	Subject Matter
(containing living lab definitions)	(mentioned as defining living lab characteristics)	(mentioned as defining living lab characteristics)	(mentioned as defining living lab characteristics)	(mentioned as defining living lab characteristics)	(mentioned as defining living lab characteristics)	(mentioned as defining living lab characteristic)
William Mitchell (MIT)	"research methodology"	"sensing, prototyping, validating	"complex solutions"	"users"	"multiple and evolving	"Urban living labs [] are
(ca. 2003)		and refining"			real life contexts"	a regional forum for innovation and dialogue
	"sensing, prototyping,		"new products and	" interdisciplinary		focusing on solving
Niitamo, Kulkki, Eriksson	validating and refining	"testing, developing and	services"	experts"	"The "living lab" is a	challenges in the urban
& Hribernik (2006) State- of-the-art and good	complex solutions"	validating"			specific type of test and	area"
practice in the field of		" "	"communication	"firms"	experimentation platform (TEP). The latter indicates	
living labs	"A broad regional development program"	"co-creation"	technologies and services",		all facilities and	"Contrary to the
		<i>".</i>	,	"by involving (early)	environments for (joint)	predominately technology-centred
Ballon, Pierson & Delaere	"indicate future systemic	"four phases in a living lab: contextualisation, concretisation,	"co-design by users and	users"	innovation"	living lab concepts,
(2005): Test and experimentation	innovation needs"	implementation and feedback"	producers; utilizers and		<i>"</i> , "	urban living labs add not
platforms for broadband		implementation and recaback	enablers are also		"ecosystems"	only the urban component to the
innovation: examining	"innovation process"	"living labs are cyclic by nature"	involved"			conceptual design, but
european practice.		iving labs are cyclic by flature		'public actors"schaf	"in real life communities and settings"	also a range of topics
	"integrating research and	"designing developing testing	"technology"		and settings	including societal, political, and
European Network of Living Labs (2006): What	innovation processes"	"designing, developing, testing and evaluating"		"Living Labs place the	"implementing live	technological questions"
is a Living Lab?			"Product, service or	citizen at the centre of	"implementing live scenarios within	
	"open innovation"	"Research, development and	application"	innovation"	communities of users"	"ULLs are located in a
Schaffers, Cordoba,		innovation process;	<i>"_</i>			real urban context.
Hongisto, Kallai, Merz &	"open and collaborative		"Services, businesses and technologies"	"user-driven"	"A living lab provides a	Innovation happens at a local and more
Van Rensburg (2007):	innovation"	"based on systematic user co-	teennorogies		concrete setting, unlike	manageable scale.
Exploring business models for open		creation approach"	"new technologies ,	"Public-private-people partnerships'	the other forms of open and collaborative	Examples for the
innovation in rural living	"where technology is		services, products, and	partnersnips	innovation"	geographical area can be
labs.	developed and tested"	"Co-creation, Exploration,	systems"	"research institutes"		the whole city, a district or neighbourhood, or
		Experimentation, Evaluation."		research institutes	"physical or virtual real-	only one house."
Kusiak (2007):	" systemic innovation		"technology development	"aublic astern"	life context"	
Innovation: The Living	approach"	"users are important informants	and innovation"	"public actors"		

Laboratory Perspective		and co-creators in the tests"			
Feurstein, Hesmer, Hribernik, Thoben &	" research and development (R&D) methodology"	"co-creative product development"	"Product and service innovations"	"private actors" "users"	"real life environments and virtual networks in multi-contextual spheres"
Schumacher (2008): Living labs: a new development strategy Bergvall-Kåreborn &	"A living lab is a user- centric innovation milieu built on every-day practice and research"	"four phases: Product Idea, Product Concept, Product Development, and Market Launch"	"new technologies" "solutions"	" users are important informants and co-creators in the tests"	"a multi-contextual (= non-isolated), empirical real-world environment"
Ståhlbröst (2009):			"Complex solutions"		
Living Lab: an open and citizen-centric approach for innovation.	"aiming to create sustainable values"	"Each process phase enables co- creation with different methods and tools"	"innovative solutions"	"customers and other stakeholders"	"in real-life contexts"
	"co-create innovations"		":		"real-life contexts"
Westerlund and Leminen (2011): Managing the Challenges of Becoming	"Technology development	"A living lab is a user-centric innovation milieu built on every-	"ideas, systems, and solutions"	"engaging all relevant partners"	"real-life test and experimentation
an Open Innovation Company: Experiences	and innovation"	day practice and research"	"processes"	"researchers"	environment"
from Living Labs	"Multi-disciplinary research teams actively involved in the research [], at times	"approach that facilitates user influence in open and distributed innovation processes"	"knowledge and ideas"	"public-private-people partnerships"	"Real world setting"
Higgins & Klein (2011): Introduction to the Living	even driving the agenda"		"impacts"	partnersnips	"Real life contexts"
Lab Approach Schaffers & Turkama	"Research, development, experimentation"	"A living lab is a user-centric innovation milieu built on every- day practice and research"	"new products and services"	" where users and producers co-create innovations"	"Implemented in concrete innovation projects"
(2012): Living Labs for Cross-Border Systemic Innovation	"with the focus on accessing the ideas and	"approach that facilitates user influence in open and distributed	"new technologies and services"	" universities" "Active role of users as	"aim to capture users" insights, prototype and
Schuurman & De Marez (2012): Structuring User	knowledge of the users regarding the tested technology"	innovation processes"	"new technologies, solutions and policies"	co-innovators"	validate solutions in real life contexts,
Involvement in Panel- Based Living Labs		"creation, prototyping, validating, and testing"		"involving multiple	"Multiple and evolving
	"aim to capture users" insights, prototype and	" where users and producers co-		stakeholders from multiple organisations	real-life contexts"

	validate solutions in real life contexts"	create innovations"
Almirall, Lee & Wareham (2012): Mapping Living Labs in the Landscape of Innovation Methodologies	" providing structure and governance to the user involvement"	"Active role of users as co- innovators" "Specific methodologies and
Mulder (2012): Living Labbing the Rotterdam Way: Co-Creation as an Enabler for Urban Innovation	"[providing] methodologies and organizations to filter and sense user insights"	tools" "Community building activities"
Pallot and Pawar (2012): A holistic model of user experience for living lab experiential design.	"an iterative experimental design process that shares, crystallises and accumulates knowledge of stakeholders to enhance user experiences in relation to the Internet of Things"	"users are subjected to a combination of research methodologies while they test new technologies that are still in development" "prototype and validate solutions"
Schuurman, Baccarne, Kawsar, Seys, Veeckman, De Marez & Ballon	"innovative solutions"	"user involvement"
(2013): Living Labs as Quasi-experiments: Results from the Flemish LeYLab	"to develop and test <u>new</u> ideas, systems, and solutions"	"Sensing, prototyping, validating & refining"
Friedrich, Karlsson & Federley (2013): Boundary conditions for succesful urban living labs	"besides producing the concrete solutions , the aim is to learn and exchange knowledge among the partners"	"iterative experimental design process that shares, crystallises and accumulates knowledge of stakeholders"
Leminen (2015): Living		"Value co-creation"
Labs as Open Innovation Networks - Networks, roles and innovation outcomes	"Experimentation and learning are not only considered as a side-effect, but experiments constitute	"learning by experience"

and their interaction"	
	"Natural setting"
"Multi-disciplinary	
research teams actively involved"	"real contexts"
"stakeholders"	"real use context"
"Multi-stakeholder"	"real-life environments"
"end-user"	"physical regions or virtual realities"
"integrates residents and other stakeholders"	"ULLs are located in a real urban context. Innovation happens at a local and
"the users of the developed services or solutions are active partners in the development work during the whole process"	more manageable scale. Examples for the geographical area can be the whole city, a district or neighbourhood, or only one house."
"companies, public agencies, universities, users, and other stakeholders"	"real-life experimentation environments"
"Some scholars argue that [] it remains uncertain which stakeholders need to be involved – and to what extent – to enable that co-creation"	"Geographical embeddedness: Urban living labs are placed in a geographical area – they are predominately not virtual platforms" "sites devised to design,
	"Multi-disciplinary research teams actively involved" "stakeholders" "Multi-stakeholder" "Multi-stakeholder" "Multi-stakeholder" "end-user" "integrates residents and other stakeholders" "the users of the developed services or solutions are active partners in the development work during the whole process" "companies, public agencies, universities, users, and other stakeholders" "Some scholars argue that [] it remains uncertain which stakeholders need to be involved – and to what extent – to enable that

Voytenko, Y.,

McCormick, K., Evans, J., & Schliwa, G. (2016): Urban living labs for sustainability and low carbon cities in Europe: towards a research agenda.

Hakkarainen & Hyysalo

(2016): The Evolution of Intermediary Activities: Broadening the Concept of Facilitation in Living Labs

McCormick (2016): GUST Policy Brief: Urban Living Labs a main element of ULLs. "Multi-method" They focus on user-centred experimentation and coproduction of knowledge and ideas with the users".

"Open innovation"

"They aim to extend codesign and open innovation activities" "learning"

"to design, test and learn from social and technical innovation"

"experimentation and learning"

"Testing, validating, developing"

"active integration of citizens"

"develop and test"

and evaluated"

innovation"

"the solutions will be developed

"the users of the developed

during the whole process"

"users and customers are the

focus of innovation activities

rather than passive receivers of

services or solutions are active

partners in the development work

"Actions and impacts of an ULL need to be **evaluated** on a frequent basis in order to establish a **feedback loop**. This allows to **adjust** the goals and visions accordingly and enhances the **learning** effects"

"Participation is a core element of ULLs and it appears throughout all

"collaboration between two or more groups of actors that include residents as a prerequisite"

"active integration of citizens"

"They focus on usercentred experimentation and co-production of knowledge and ideas with the **users**"

"ULLs provide platforms for participation and **user** involvement"

"observations in practice: users, public actors, private actors, knowledge institutes"

"collaborative efforts of users and developers"

"user-centered"

"all stakeholders"

"public-private-people partnerships" test and learn from social and technical innovation **in real time**"

"in real world conditions"

stages of an ULL"

"design-in-use"

"co-design"

"from [...] planning

and **designing** to **developing**, **implementing**, **evaluating** ULLs actions and **updating** ULLs ambitions"

"Participation and co-design"

"bringing together citizens, practitioners, decision makers, and researchers"